

Application Note – 002c

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**Lock-in and Signal Averaging Circuits for an
NDIR Gas Spectroscopy Based Carbon Monoxide Detector**

By

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Lock-in and Signal Averaging Circuits for an NDIR Gas Spectroscopy Based Carbon Monoxide Detector

SUMMARY

This application note describes two circuits (lock-in and signal averaging) for detection and measurement of low levels of carbon monoxide (CO), using Non-Dispersive Infrared (NDIR) gas spectroscopy. NDIR gas detection and measurement offers reliability, sensitivity, and immunity from false alarms for such applications as CO detectors. The lock-in circuit is analog in nature and enables the measurement of CO levels down to 1 ppm, and meets Canadian Standards Association (CSA) requirements for residential CO detectors; while the signal averaging circuit uses a mixed-signals (analog and digital) approach, inherently capable of much higher resolution than that of the analog circuit.

INTRODUCTION

There are essentially two techniques for extracting faint signals from noise: **Lock-in**, and **Signal Averaging**. In both cases, there are no theoretical limits to the depths from which signals can be extracted, below any given noise floor (e.g., thermal). The practical limits, however, are cost and time required for signal lock-in and signal averaging. An analog circuit is described in some details, then a mixed-signals circuit is described in its block diagram format. The mixed-signals circuit approach turns out to be far superior to the analog circuit approach, be it in terms of performance, cost, and reliability.

ANALOG CIRCUIT

Overview

Reference is being made to Figure 1. The circuit compares the signal amplitudes of two MID-IR photodetectors, each irradiated by a common MID-IR source (which may be thermal or quantum in nature), while each photodetector is tuned to a specific wavelength (3.9 μm , and 4.76 μm), within a relatively narrow IR bandwidth. Tuning is accomplished with the use of optical narrow bandpass filters (NBPF), deposited onto the surface of the photodetectors. The IR absorption spectra of CO peaks at 4.76 μm , while offering no absorption at 3.9 μm (gases commonly encountered in a CO environment present no absorption at 3.9 μm). The 3.9 μm tuned photodetector is utilized as a reference. When CO is present between the MID-IR emitter and detectors, a difference in amplitude (amplitude of the electrical signals generated at the photodetectors) results from the absorption of MID-IR at 4.76 μm , by CO, while no signal absorption occurs at 3.9 μm . This difference is amplified by a high gain (160 dB) instrumentation amplifier. A lock-in technique is then utilized to extract the differential signal (deeply buried into amplified Johnson, shot, 1/f, and other forms of noise). The lock-in stage is then followed by a low-pass filter (which further removes noise components, and switching transients, from the signal output of the lock-in circuit). The filtered differential signal is then sent through a peak detector, which maintains a stable voltage level output for 60 seconds, following power turn OFF to the MID-IR source. That signal is then compared (as a function of its amplitude, and as a function of time) to a voltage reference. If the signal exceeds the reference, it latches in a piezoelectric buzzer, providing audible indication of unacceptably high levels of CO, as a function of time.

NOTE: The block diagram depicted in Figure 1 makes use of switches where transistors are utilized as simple ON/OFF switches in Figure 2. Refer to Figure 3 for the states of the transistors when utilized as simple ON/OFF switches.

Power Supply, Voltage Reference, and Associated Components

Reference is being made to Figure 2. R₁, R₂, and R₇₁ provide a virtual ground located half way between +V and -V_{BATT}, +V_{BATT} and -V_{BATT}, respectively, enabling the use of a single 9 volts dry cell (150 mAh type). +V_{REF} is a precision micropower voltage reference of 2.5 volts. R₇₂ is a pull-up resistor. IC₁ is powered directly from the 9 volts battery, whereas the other two time bases (IC₂ and IC₃), and all Op-Amps (except for IC₁₀, 11, 12, 13, and 14) are powered through the main line switch, Q₁, which latter is turned ON/OFF by IC₁; enabling reduced power consumption for battery powered applications. Note that Q₁ can also be turned ON/OFF by IC₁₁, 12, 13, or 14 (more on this further on). R₁₀ and R₁₁ are current limiting to the base drives of Q₁ and Q₂, respectively.

Time Bases (Main Time Base, Pulse Generator, and 3.75 kHz Oscillator)

Reference is being made to Figure 3 and Figure 4. IC₁, IC₂, and IC₃ are CMOS 555 timers. The charge/discharge paths of their timing capacitors take place through small signal diodes (e.g., 1N914 type); thereby, avoiding ohmic values that would otherwise be too close to permissible minimums and maximums, for the proper functioning of the 555s and; it enables reduction of all capacitances to a single value (0.33 uF), for cost reduction purposes, while at the same time providing highly reliable and predictable timing accuracies.

MID-IR Emitter, Associated Drive Circuit, and MID-IR Photodetectors

Reference is being made to Figure 2. There are two options for the MID-IR emitter: Thermal (a resistive element), and quantum (MID-IR LED). The MID-IR LED source is utilized for this circuit description. The MID-IR LED source is highly efficient from a quantum point of view, relative to the MID-IR thermal source.

The MID-IR LED is driven with a constant current of 50 mA, with the use of IC₁₆ (configured as a constant current source). IC₁₆ is powered ON for 2 seconds by Q₁, once every 60 seconds. Laser trimming of R₁₃ is utilized for setting the voltage, at the non-inverting input of IC₅, equal to that of IC₄. R₈₃ is current limiting to the base drive of Q₂₁.

High Gain Instrumentation Amplifier

Reference is being made to Figure 2. The circuit topology is that of a high gain instrumentation amplifier, and conservative. A differential voltage gain of 10,000 for the input stage (IC₄ and IC₅) is definable through R₁₅; while the output stage, IC₆, also provides a differential voltage gain of 10,000. These two stages can provide a total gain of 100,000,000 (160 dB). IC₇ provides common mode rejection and offset compensation. Three laser trimmed resistors, R₁₅, R₂₁, and R₂₈, allow fine adjustment of the amplifier's gain, CMRR, and offset, respectively; while R₁₆ provides scaling of the 3.9 μm MID-IR photodetector's signal. C₁₀ is a DC blocking capacitor, converting the output DC signal of the high gain instrumentation amplifier into an AC signal, as seen by the Op-Amp of the Lock-in Demodulator, IC₈. D₂₃ and D₂₄ clip the output voltage of IC₆ to 6 volts peak-to-peak, thereby, preventing the Op-Amp from saturating, which would otherwise limit its gain to less than 80 dB at < 5 kHz. Strings of small signal diodes with fast recovery times (e.g., 1N914) are required instead of 3.0 V zeners (e.g., MMBZ5225BLT1), due to the latter's high leakage current and soft knee in the < 6.2 volts region.

Lock-in Demodulator

Reference is being made to Figure 4. The lock-in demodulator (also called: Balanced Demodulator, Synchronous Demodulator, Phase Sensitive Detector, or Phase Sensitive Rectifier) rectifies the signal present at its input, as a function of the 3.75 kHz oscillator's signal (IC₃), which drives Q₃ and Q₄, providing the net effective equivalent of a narrow (1 Hz) bandpass filter tuned to 3.75 kHz. The output of the lock-in demodulator (Q₃ and Q₄ circuit node) is sent through a low-pass filter (R₃₄ and C₄), of which the corner frequency is set to 1.34 Hz, which removes carrier components (3.75 kHz) of the rectified signal, along with any other type of amplified noise (Johnson, shot, 1/f, etc.). The corner frequency of 1.34 Hz enables to charge/discharge C₄ to 7 time constants, within less than 0.831 second (the peak detector is reset following 0.990 second, each time Q₁ turns ON). Reference is being made to Figure 3. IC₈ is configured as a unity gain inverting buffer. Q₃ and Q₄ are utilized as line switches for the rectification of the input signal (C₁₀-R₂₃ node). R₇₇ is a pull-up resistor, while R₇₈ is current limiting to the base drive of Q₅.

Peak Detector

Reference is being made to Figure 2. The output of the lock-in demodulator is sent to IC₉, configured as a non-inverting unity gain buffer, and driving the input of the peak detector. D₁₁ prevents C₅ from discharging. R₄₀ prevents oscillation of IC₉, which latter would otherwise be looking straight into a purely capacitive load, while R₃₉ limits the discharge current of C₅ through Q₁₀, and Q₁₁. Reference is being made to Figure 3. Q₉ turns OFF once every 60 seconds, turning Q₁₀ and Q₁₁ ON, which discharges C₅ during the LOW portion of the pulse generator’s output (IC₂). IC₁₀ is chosen for its high input impedance, providing less than 0.1% droop of C₅’s voltage during 60 seconds. R₃₆, R₃₇, and R₃₈ are current limiting to the base drives of Q₉, Q₁₀, and Q₁₁, respectively, while R₃₅ is a pull-up resistor.

PPM Level Comparators

Reference is being made to Figure 2. The signal’s level at each comparator’s inverting input is compared to the reference at their respective non-inverting inputs. Their rate of rise is also set by an RC time constant (R₄₁C₆, R₄₈C₇, R₅₁C₈, R₅₆C₉), so that the comparators’ outputs will change state (from HIGH to LOW), according to the levels of CO detected, and as a function of time, meeting CSA standard (CAN/CGA-6.19-M93) for Residential Carbon Monoxide Detectors (see Table 1). Q₁₂ through Q₁₉ are turned ON by the Reset Switch, thereby discharging (resetting) the capacitors that make up the RC time constants (R₄₁C₆, R₄₈C₇, R₅₁C₈, R₅₆C₉), following an abnormally high level of CO, indicated by the audible alarm triggered by the detection circuit. R₄₄, R₄₅, R₄₉, R₅₀, R₅₄, R₅₅, R₅₉, and R₆₀ provide a small hysteresis to the comparators; thereby, avoiding audible alarms that would otherwise occur in an intermittent fashion at the onset of detection of an abnormally high level of CO. D₁₂ through D₁₅ prevent the outputs of each comparator from sourcing into the other comparators’ outputs, should one of the comparators’ outputs go LOW. R₄₂, R₄₃, R₄₇, R₄₈, R₅₂, R₅₃, R₅₇, and R₅₈ are current limiting to the base drive of Q₁₂ to Q₁₉, respectively, while R₇₃ is a pull-down resistor.

Carbon Monoxide Concentration Versus Time For 10 Per Cent Carboxyhaemoglobin (Cohb)	
A. Carbon monoxide concentration and response time:	
Concentration (ppm)	Maximum response time (minutes)
100	90
200	35
400	15
B. False alarm resistance specification:	
Concentration (ppm)	Exposure time (minutes) (no alarm)
100 ± 5	5
9 + 3 minus 5	480

Table 1

NOTE: The 9 ppm detection level is not required for CSA approval. However, it was designed into the circuit, for the purpose of exploring and evaluating the limits of what can be accomplished with an analog approach to measuring CO to 1 ppm. If the 9 ppm detection level (as a function of time; e.g., > 480 minutes) would be required, say for purposes of sensitivity as a function of time (480 minutes), then 100 megaohms shunt resistors would have to be introduced into the circuit (in parallel with C₆ through C₉), in order to reduce the error that would otherwise result from the leakage of the capacitors utilized for the RC time constants, given the extremely high ohmic values (e.g., 12.5 gigaohms) required of their associated resistors (R₄₁, R₄₈, R₅₁, R₅₆). These shunt resistors are illustrated as R₆₆ through R₆₉. In other words, the circuit is shown with RC time constants that are equal to just below the maximum permissible time limits set by CSA, including the 9 ppm level, for the purpose of illustrating the limits of what can be accomplished with the analog approach taken for the design of this circuit; while in fact the circuit can meet CSA requirements with much smaller time constants (e.g., 30 seconds), than the ones illustrated by

the time constant values set by the circuit's components (e.g., 90, 35, and 15 minutes, for the 100, 200, and 400 ppm levels, respectively). An abnormally high level of CO sends the output of one of the comparators LOW, turning Q₁ and Q₂₀ ON, via R₇₉, and D₁₇ and R₆₅, respectively. Q₁ and Q₂₀ remain ON, until the Reset Switch is manually actuated. When Q₂₀ turns ON, it enables IC₃ to drive the piezoelectric buzzer.

Low Battery Voltage Detector and Indicator

Reference is being made to Figure 2. If the battery voltage falls below that stipulated in the CSA Standard (CAN/CGA-6.19-M93) for Residential Carbon Monoxide Detectors, then the piezoelectric buzzer is turned ON (via Q₂₀ and IC₁₅) thereby allowing IC₃ to drive it for 10 milliseconds (enabled by the 10 milliseconds pulse of IC₂ at the non-inverting input of IC₁₅ (Refer to Figure 3). IC₁₅ is configured as a summing comparator (through R₆₁, R₆₄, and R₇₆). The latter compares the battery voltage to the reference voltage +V_{REF}. If the battery voltage falls below the preset minimum, the output of IC₁₅ goes LOW, enabling a 10 milliseconds beep once every 60 seconds. R₆₂ and R₆₃ form a voltage divider for the input signal received from the output of IC₂ (leaving -V_{BATT} compared to +V_{REF} when the output of IC₂ goes LOW). D₁₇ prevents the output of IC₁₅ from latching Q₁ ON, while D₁₆ prevents the outputs of the PPM comparators from sourcing into the output of IC₁₅, should one of the comparators' outputs go LOW. R₆₅ is current limiting to the base drive of Q₂₀, while R₇₄ is a pull-up resistor. D₁ provides a "Status OK" indication for 10 milliseconds, once every 60 seconds, driven by the LOW of IC₂'s output pulse. R₃ is current limiting to D₁.

Analog Circuit - Parts list

- MID-IR LED : 4600-4800 nm, Ioffe Physico-Technical Institute, 26, Polytechnicheskaya, 194021, St-Petersburg, Russia - <http://www.ioffe.rssi.ru>
- MID-IR Photodetectors : Philips RPY77 (InSb). NOTE : Philips Electronics no longer manufactures IR detectors of the InSb or MCT types; however, equivalent detectors can be obtained from Judson (EG&G) - <http://www.judsontechnologies.com>
- Voltage Reference : REF192 Analog Devices; or equivalent - <http://www.analog.com>
- IC₁, IC₂, IC₃ : LMC555 National Semiconductor, or equivalent; CMOS 555 timer - <http://www.national.com>
- IC₄, IC₅, IC₆ : LMH6632 National Semiconductor; high open loop gain Op-Amp - <http://www.national.com>
- IC₇, IC₈, IC₉, IC₁₀, IC₁₁ through IC₁₆ : LMC6442 National Semiconductor; low voltage Op-Amp - <http://www.national.com>
- Q₁, Q₂₀ : 2N2907 Motorola; general purpose small signal - <http://www.onsemi.com>
- Q₂, Q₅ through Q₁₉, Q₂₁ : 2N2222 Motorola; general purpose small signal - <http://www.onsemi.com>
- Q₃ and Q₄ : MMBFJ177LT1 Motorola; P Channel FETs , low V_{GS(off)} - <http://www.onsemi.com>
- All Diodes : 1N914, or equivalent
- D₂₃, D₂₄ : 1N914 X 6, or equivalent
- D₁ : 10 mA LED
- All Capacitors : 0.33 uF polypropylene; low leakage
- All Resistors : 0.25 W metal film
- Piezoelectric buzzer. NOTE : the frequency of oscillator IC₃ should be selected to match the peak frequency response of the selected buzzer. In other words, the frequency of oscillation can be anywhere between 1 and 5 kHz; it will not affect the performance of the lock-in circuit.

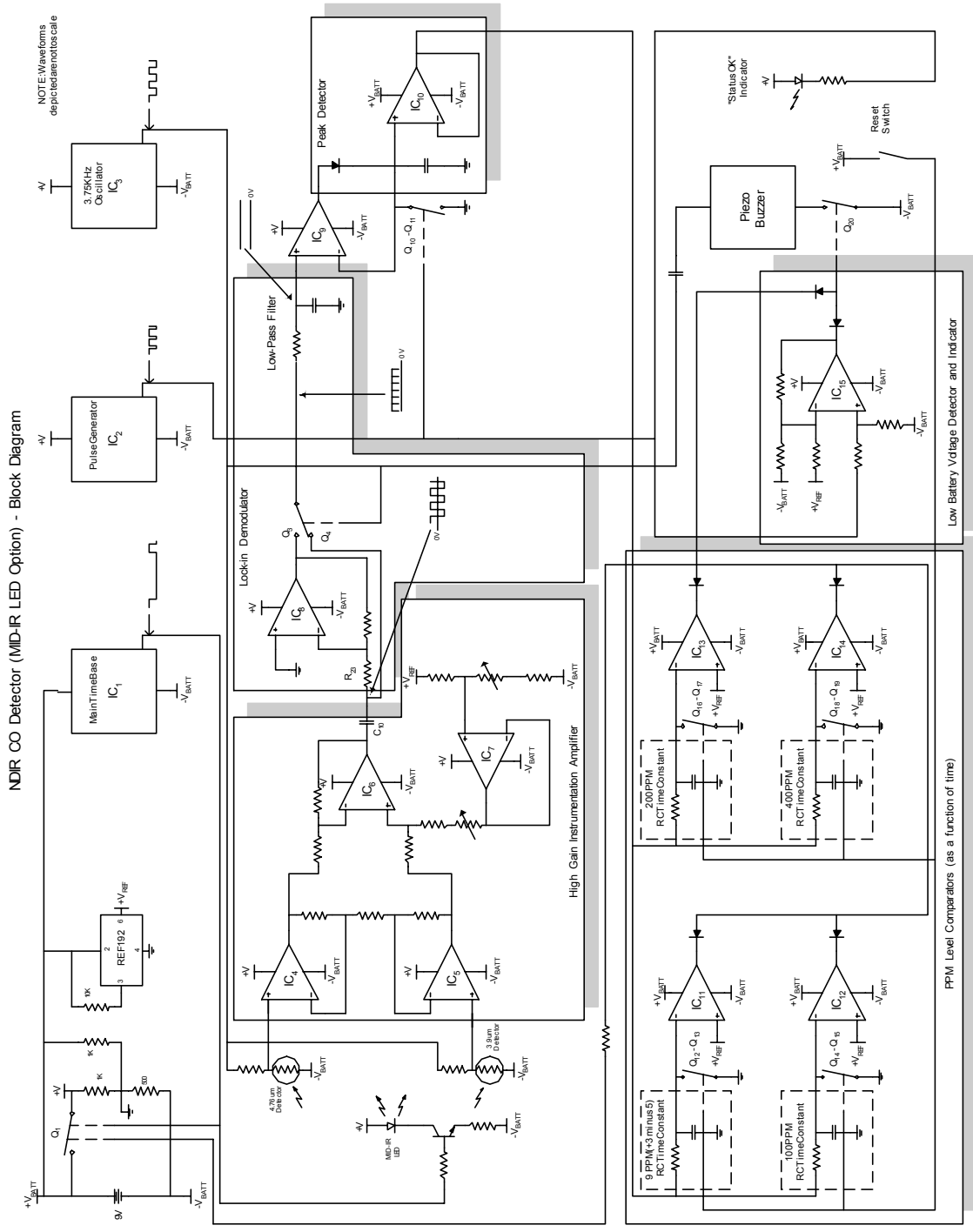


Figure 1

NDR CO Detector (MID-IR LED Option) - Circuit Schematic

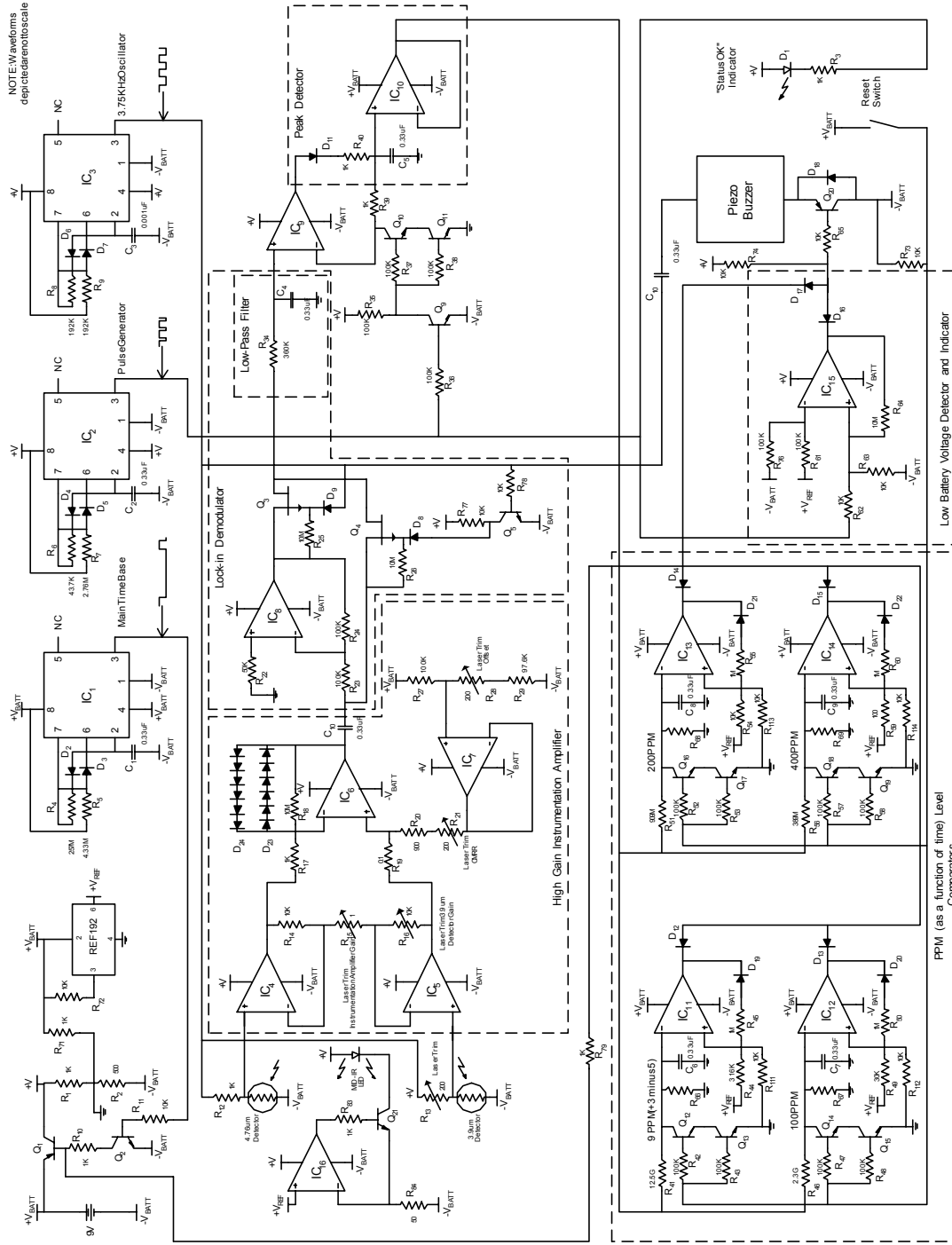
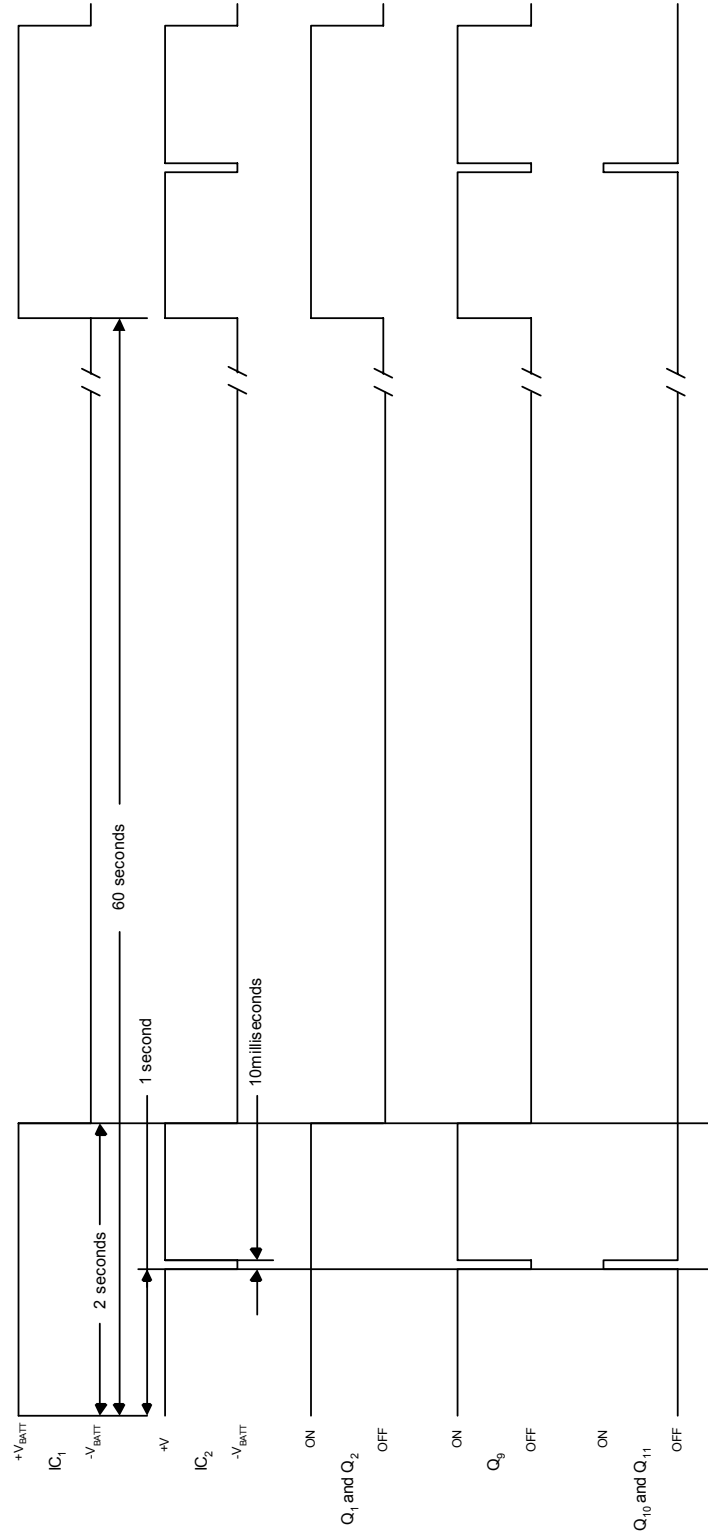


Figure 2

NDIR CO Detector - Timing Waveforms and Pulse Widths Diagram

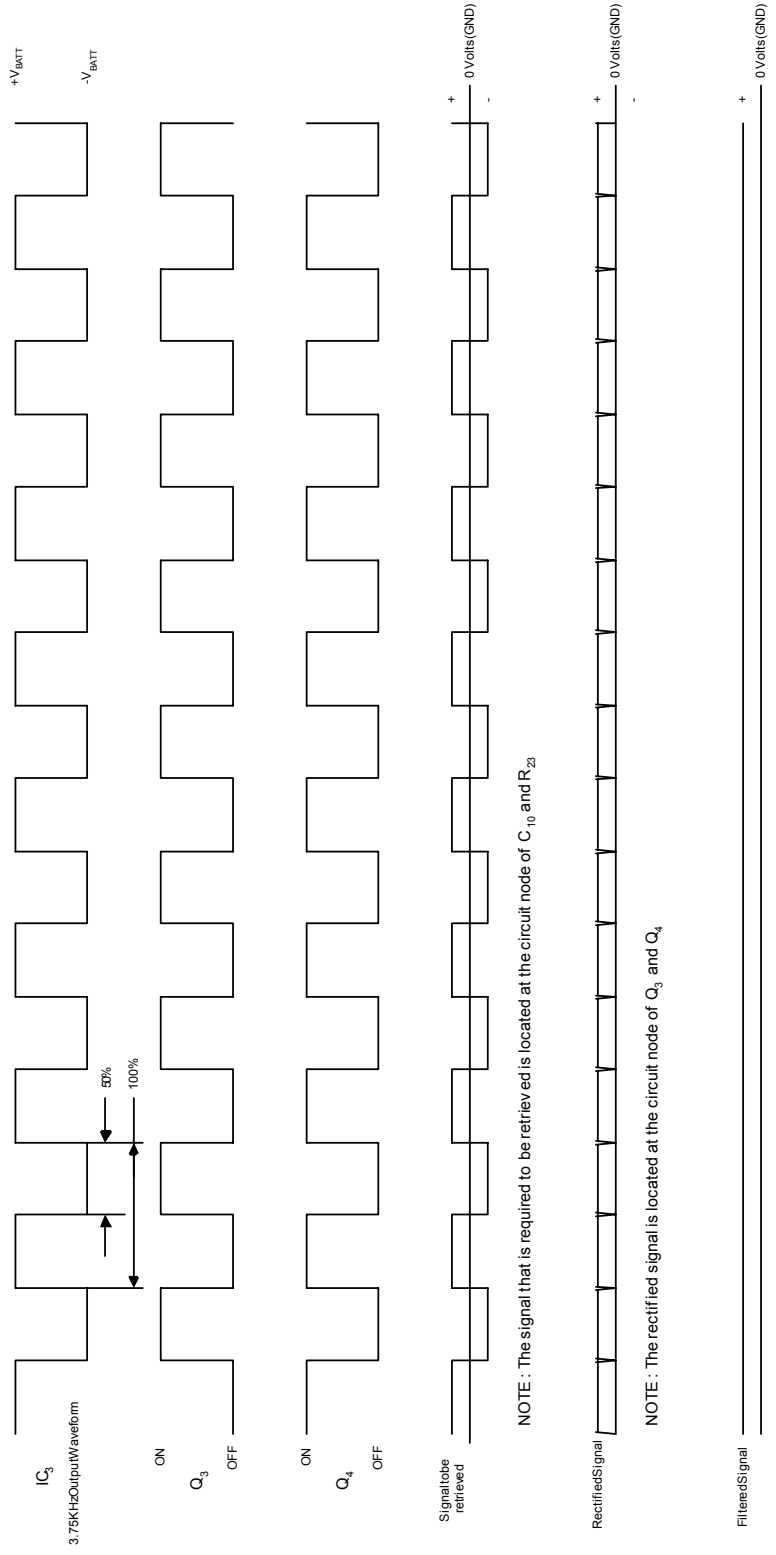


NOTES:

- 1- A time delay of one second is introduced for the components and waveforms to reach a state of equilibrium.
- 2- The pulse of 10 milliseconds enables discharge of C₁; in other words, it clears the peak level recorded during the previous pulse of 2 seconds of the main time base. IC₁, so that a valid CO level measurement may occur during the period that follows the pulse of 10 milliseconds.

Figure 3

NDIR CO Detector - Lock-in Demodulator Waveforms Diagram



NOTE : The signal that is required to be retrieved is located at the circuit node of C₁₀ and R₂₃

NOTE : The rectified signal is located at the circuit node of Q₃ and Q₄

NOTE : The filtered signal is located at the non-inverting input of IC₉

NOTES:

- 1- Given that the amplitude of the noise component is 10⁶ greater than the signal that is required to be retrieved, the noise component is not shown for clarity of illustration
- 2- The amplitude of the waveforms is not to scale

Figure 4

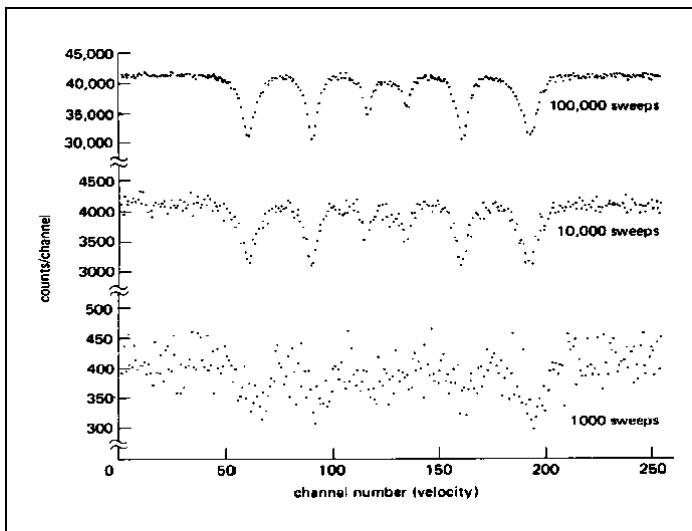
MIXED-SIGNALS CIRCUIT

Reference is being made to Figure 6. The differential signal and its noise components are amplified by the high gain instrumentation amplifier, of which the output is sent to an A/D converter (The Cypress MicroSystems' Programmable System-on-Chip (PSoC) harbors a software definable A/D converter, with up to 11 bits of resolution at this point in time). The output of the A/D is then processed by software internal to the PSoC, using signal averaging techniques to extract the desired information (the signal sought). Figure 1 illustrates signal averaging. The cost of the components is, approximately, \$1.00 for the high gain instrumentation amplifier, and \$2.80 (in quantities > 100) for the Cypress MicroSystems' CY8C25122 PSoC FLASH based microcontroller (4K FLASH, 128B RAM, 8 PIN DIP).

The mixed-signals approach has significant advantages over the analog circuit approach from an electronics point of view. A few of these are that laser trims would likely not be required, nor the use of auto-zeroing techniques (which latter may turn out necessary for the analog circuit, in order to counteract components' drifts as a function of time, given that, for the analog circuit, the latter is pushed to the limits of stability in terms of long term drifts that may affect the circuit's reliability, given the magnitudes of the circuit's voltage gains). Additionally, even if going to an ASIC for the analog circuit, the components' cost, for the digital circuit, would be approximately 5 or more times cheaper than for the analog circuit. Moreover, digital information can be obtained at its output (e.g., numerical values concerning the level of gas measured, fluctuations measured as a function of time, etc., and serial data that can be sent directly to, say an LCD display, the latter equipped with a serial to parallel converter).

It is of note that, although the block diagram shows tuned emitters and detectors, only the emitters or detectors may be tuned. Moreover, the circuit can be made to work equally well by pulsing the detectors instead of the emitters.

In terms of integration time (the time required for signal averaging), less than one minute worst case seems a reasonable estimate for producing reliable and meaningful information at the output of the PSoC (e.g., detection and measurement of CO down to <1 ppm).



Mössbauer absorption spectrum showing effect of signal averaging.

(Reproduced from *The Art of Electronics*, by Dr. P. Horowitz, and Dr. W. Hill)

Figure 5

Mixed-Signals NDIR CO Detector - Block Diagram

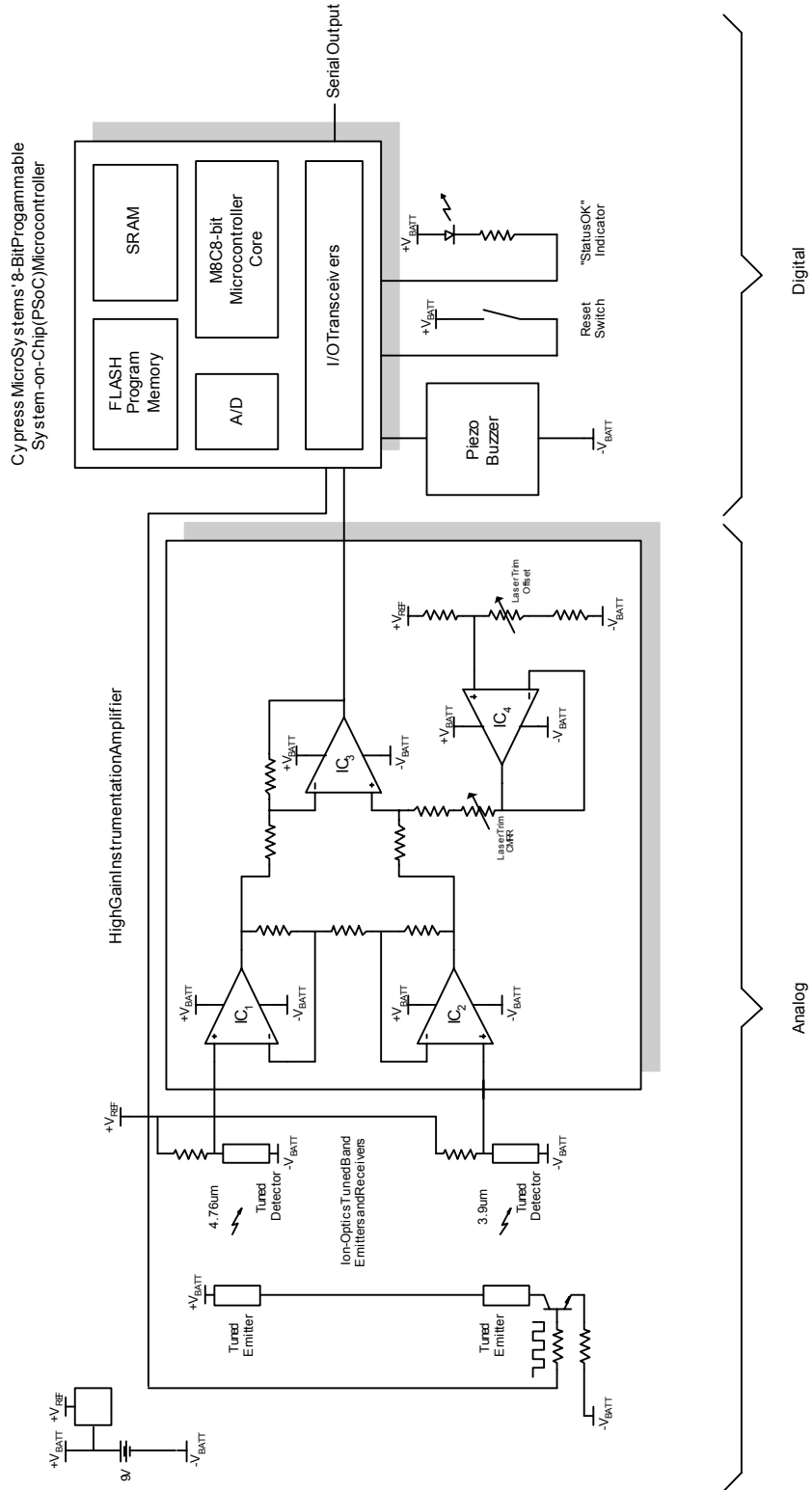


Figure 5

Mixed-Signals Circuit - Parts List

- IC₁, IC₂ IC₃ IC₄: LMH6632 National Semiconductor; high open loop gain Op-Amp - <http://www.national.com>
 - Cypress MicroSystems' Programmable System-on-Chip (PSoC): CY8C25122 PSoC FLASH based microcontroller (4K FLASH, 128B RAM. 8 PIN DIP) - <http://www.cypressmicro.com>
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CONCLUSION

State of the art technology (such as the Cypress MicroSystems' Programmable System-on-Chip (PSoC)), applied to NDIR gas spectroscopy, coupled to signal averaging techniques, can enable low cost ultra-high resolution gas detection and measurement.
